

# READY RECKONER

## PAN AND KYC REQUIREMENT FOR MUTUAL FUND TRANSACTIONS

	<b>PAN</b>	<b>KYC</b>
Effective date	1 <sup>st</sup> Jan 2008	1 <sup>st</sup> Feb 2008
Mandatory for	All Unit holders in the folio, including Guardians in Minor accounts and NRIs	All Unit holders in the folio, including Guardians in Minor accounts and NRIs and POA Holders
Not Required for	POA Holders Lien Holders	Lien Holders
Transactions for which this is <b>Mandatory</b>	<ol style="list-style-type: none"> <li>1. New Purchase, Additional Purchases and SIP Registrations of any value</li> <li>2. Transmissions of any value</li> <li>3. When Minor becomes Major, irrespective of value</li> <li>4. Switches / STPs of value Rs 50,000 and above</li> </ol>	<ol style="list-style-type: none"> <li>1. New Purchase, Additional Purchases and New SIP Registrations of value Rs 50,000 and above for Individuals and NRI and for any value for Corporate bodies and other Non-Individuals</li> <li>2. Transmissions of any value</li> </ol>
Transactions for which this is <b>NOT Mandatory</b>	<ol style="list-style-type: none"> <li>1. Redemptions</li> <li>2. Switches and STPs of value less than Rs 50,000</li> <li>3. Systematic transactions (SIP/SWP/STP) registered prior to 2<sup>nd</sup> July 2007.</li> </ol>	<ol style="list-style-type: none"> <li>1. New Purchase, Additional Purchase and New SIP Registrations <b>of value below Rs 50,000</b> for Individuals and NRI</li> <li>2. Redemptions of any value</li> <li>3. Switches and STPs of any value</li> <li>4. Existing Systematic transactions (SIP/SWP/STP) of any value</li> </ol>
Documents Required	PAN card copy or copy of KYC acknowledgement from CVL <u>(No other document will be accepted)</u>	Copy of KYC acknowledgement received from CVL
Procedure	<p>Submit list of folios to our Investor Service Centres together with</p> <ol style="list-style-type: none"> <li>1. Self attested copy of PAN card alongwith original (original will be returned across the counter after verification) (or)</li> <li>2. Notorised copy or Distributor verified copy of PAN card in lieu of providing the originals (or)</li> <li>3. Copy of KYC acknowledgement received from CVL</li> </ol> <p><u>To be registered once in the folio for all holders</u></p>	<p>Submit list of folios to our Investor Service Centre together with copy of KYC acknowledgement received from CVL</p> <p><u>To be registered once in the folio for all holders</u></p>